

IETA MEMBERSHIP FORM – FOR BULK MEMBERSHIPS

For year June 1, 20____ - May 31, 20____



According to our By-Laws, Institutional Equity Trader is defined as a person who regularly makes commitments for or executes orders in securities on behalf of a firm with other dealers, brokers and/or institutions.

Membership Definitions:

Regular Member . . . Individuals (i) whose PRIMARY FUNCTION IS THE TRADING OF EQUITIES AT AN INSTITUTIONAL LEVEL, (ii) who have performed that function for at least one continuous year, and (iii) who have been a Member of the IETA for at least one full year. One vote per Regular Member.

Provisional Member . . . Individuals (maximum 20% as per By-Laws)

(i) whose PRIMARY FUNCTION IS THE TRADING OF EQUITIES AT AN INSTITUTIONAL LEVEL and who have performed that function for less than one continuous year, or who have not been members of the IETA for one full year. No vote.

(ii) who are not Institutional Equity Traders but who, for a period of at least one (1) continuous year, have been engaged or employed by firms, government and/or other non-profit organizations engaged in the investment, securities or banking business or that regulate or advise the equities trading industry and whose engagement or employment assists, supports or is related to equities trading. No vote.

Primary Role: Institutional Trader < 1 yr Institutional Trading Assistant Retail Trader Other_____

Vendor/Exchange Member . . . Individuals who qualify as a “Vendor” or an “Exchange” in the investment, securities or banking business or industry for at least (1) continuous year, whether or not with the same organization. No vote. (maximum 20% as per By-Laws)

“Vendor” shall for all purposes of this By-law, mean an individual who is regularly engaged or employed by a person which supplies services related to the function of securities trading. No vote.

“Exchange” shall for all purposes of this By-law, mean an individual who is regularly engaged or employed by a person which maintains a marketplace where securities can be traded; or the marketplace itself. No vote.

FIRM _____

ADDRESS _____

CITY _____ PROVINCE _____ POSTAL CODE _____

PHONE _____ DATE _____

MEMBER NAME	EMAIL ADDRESS	TYPE (Reg, Prov or Vendor/Exchange)	OPT IN ***

OPT IN YES *** Yes, I would like to opt-in and allow the IETA and CSTA to provide my email address to third parties for beneficial content. This opt-in can be cancelled at any time by emailing Janice Cooper at janice.cooper@canadiansta.org Please note the email address will only be used to provide benefits to our members (STA Magazine, UP Express Member Discount, etc....)

Total Memberships Requested _____

Total Fee Enclosed _____

MEMBERSHIP FEE: \$250 CDN or USD PER MEMBER. Bulk cheques are accepted for multiple memberships.

Please make cheque payable to

**“INSTITUTIONAL EQUITY TRADERS ASSOCIATION” and return, with membership form(s), to:
P.O. Box 516, Adelaide Station, Toronto, Ontario M5C 2J6**